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**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA**

Charles Jason Moran,

Plaintiff,

v.

Piper Jaffray & Co.,
d/b/a Piper Jaffray,

Defendant.

CASE NO: 08-cv-3188 (JSW)

**STATEMENT OF SUPPLEMENTAL
AUTHORITY**

Complaint Filed: June 19, 2008
Honorable Jeffrey S. White

Pursuant to the Court's Notice of Tentative Ruling and Questions (Dkt. 38), Plaintiff hereby notifies the Court and Defendant of his intent to rely on FINRA Code of Arbitration Procedure for Industry Disputes § 13309(a) at the hearing scheduled for August 22, 2008 at 9:00 A.M. Pursuant to the Court's Notice of Tentative Ruling and Questions, Plaintiff will make copies of the rule available at the hearing.

Dated: August 21, 2008

NICHOLS KASTER, LLP

By: /s/Matthew C. Helland
Matthew C. Helland

NICHOLS KASTER, PLLP
Attorneys for Plaintiffs